IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1623

IN RE APPLICATION OF: BRONK, ET AL.

APPLICATION NO.: 09/424,104 : Examiner: PESELEV, E.

FILING DATE: NOVEMBER 18, 1999

TITLE: 4"-SUBSTITUTED-9-DEOXO-9A-AZA-:

9A-HOMOERYTHROMYCIN A

DERIVATIVES

Commissioner for Patents Washington, D.C. 20231

Sir.

SUBMISSION UNDER 37 C.F.R. 1.114(a) AMENDMENT AND INFORMATION DISCLOSURE STATEMENT

Please amend the subject application as follows:

- Please cancel claims 1, 2, 14-20 and 22-28.

Please amend claims 3, 6, 8, 10, 12 and 21 as follows:

(Amended) A compound of the formula

or a pharmaceutically/acceptable salt thereof, wherein R¹ is hydroxy, R² is hydroxy, R³ is - CH₂NR⁸R¹⁵ or -CH₂ SR⁸;

R4 is H, agetyl or benzyloxycarbonyl;

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11/28/01 WED 14:04 [TX/RX NO 5410] 2004